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7590

07/28/2004

Genentech, Inc.  
 1 DNA Way  
 South San Francisco, CA 94080

08/18/2004 SHASSEN2 00000042 070630 09648896

01 FC:1501 1330.00 DA  
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Wendy M. Lee

(Depositor's name)

WML

(Signature)

August 17, 2004

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/648,896	08/25/2000	Jeffrey L. Cleland	P099SD2	6985

TITLE OF INVENTION: PROTEIN FORMULATION

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	10/28/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
VAEN, CHRISTOPHER H	1642	424-130100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Genentech, Inc.

South San Francisco, California

Please check the appropriate assignee category or categories (will not be printed on the patent):

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee☐ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 1

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 07-0630 (enclose an extra copy of this form).

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☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is not claiming SMALL ENTITY status. See e.g., 37 CFR 1.27(g)(2).

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(Authorized Signature)

(Date)

Wendy M. Lee - Reg. No. 40,378

August 17, 2004

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**FACSIMILE TRANSMITTAL**

1 DNA WAY  
South San Francisco, CA 94080  
(650) 225-1994  
Facsimile: (650) 952-9881

DATE: August 17, 2004

Please deliver the following Issue Fee Transmittal (dup) to:

NAME: Examiner Christopher Yaen - Group Art 1642  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Fax No.: (703) 746-4000

FROM: Wendy M. Lee  
Registration No.: 40,378

RE: U.S. Serial No.: 09/648,896  
Our Docket No.: P0998D2

Number of Pages including this cover sheet - 3

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